



Business Continuity Information

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Great American Advisors (GAA), a wholly owned subsidiary of Great American Financial Resources[®], Inc. is committed to providing exceptional support and service to our clients. We recognize the potential of certain uncontrollable events that could cause varying intensities of interruption to our normal business processes. Furthermore, we acknowledge the responsibility we have to our institutional customers to continue critical operations during uncontrollable disruptive events.

For that reason, GAA has developed and maintains a business continuity plan, including redundant data centers and alternate processing facilities, to address interruptions to our normal course of business. These plans are reviewed annually and updated as necessary.

Our plans outline the actions we will take in the event of a building, city-wide, or regional incident, including relocating technology and operational personnel to pre-assigned alternate regional facilities. Technology data processing can also be switched to an alternate regional data center.

In the event of an internal or external significant business disruption, our recovery time objective for business resumption, including those involving a relocation of personnel or technology, is 48 hours.

Although GAA is an institutional broker/dealer and does not maintain custody of clients' funds or securities, specific assistance may be obtained via:

Telephone:	(800) 216-3354 or (513) 333-6030
Facsimile:	(513) 412-5109
Email:	gaa@gaadvisors.com
Postal Mail:	Great American Advisors, Inc. 301 E. Fourth Street Cincinnati, OH 45202

Thank you for choosing GAA. We look forward to continuing to meet your institutional needs.